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PAGE



Box Non-Fee Amendment

Attorney Docket No. 15155

IN THE UNITED STATES PARENT AND TRADERGRE OFFICE

In re Application of:

Y. Bronicki et al.

Serial No.:

(19/627,166

Group Art Unit: 1732

Filed:

July 27, 2000

Examiner: M. Theisen

For:

METHOD OF AND APPARATUS FOR PRODUCING PELLETS FROM

HEAVY HYDROCARBON LIQUID

DECLARATION UNDER 37 C.F.R. 1.131

Commissioner for Patents Washington, D.C. 20231

Sir:

NOW COMES the undersigned and declare that:

- 1. I, J. Robert Friday, am an inventor in the present I also am a consultant on the asphaltens application. pelletization project which is the subject of the instant I have personal knowledge of the work done in application. accordance with the project.
- 2. On the seventeenth (17 $^{\infty}$) day of April TMO-THOUSAND (2000), which upon information and belief, is the publication date of an article entitled "New Pelletizer Makes Higher-Value Fuel From Resid," such article was published in the Oil and Gas Journal in the United States. The following facts show completion and

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reduction to practice of the presently claimed invention in the United States of America and Israel, a World Trade Organization country, prior to this publication.

- 3. The present United States patent application was drafted at the beginning of the year 2000.
- 4. Attached is a facsimile memorandum from Philip Rettger, one of the inventors of record, to Yoram Bronicki, another of the inventors of record, and to Yona Beck, the Head of the Intellectual Property Department of ORMAT INDUSTRIES. A copy of the facsimile memorandum is attached herewith and made part of this affidavit as an Exhibit of record. The facsimile memorandum bears one or more dates which have been removed and are not shown on the Exhibit. Each of the dates was prior to the Oil & Gas Journal publication date.
- 5. The manorandum references the existence of a draft patent application that was prepared and forwarded to Mr. Rettger for his review. As can be seen by the memorandum, conceptual basis for an apparatus and method for producing asphaltene pellets as claimed in the present patent application is provided. In particular, the

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memorandum indicates the formation of the pellets, the formation of the slurry and the transportation thereof, as is claimed in independent claims 1 and 7.

- 5. The criginal memorandum identified in the Exhibit was produced in the United States of America.
- 7. The memorandum also references "the current layout" and "(Look at what Geva built, including the auger conveyor)." These references point to an apparatus that had been constructed and operated in accordance with the claimed invention at the facilities of ORMAT INDUSTRIES LTD., the assignes of the current patent application. The facilities of ORMAT INDUSTRIES LTD. are located in Yavne, Israel, a WTO country.
- 8. I am aware of the construction and operation of the apparatus practicing the presently claimed invention and am also aware of its operation on a date prior to the Oil & Gas Journal publication date.
- 9. All statements made herein of my own knowledge are true.
 All statements made herein upon information and belief are believed

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to be true. I understand that willful false statements and the like are punishable by fine or imprisonment, or both, under the provisions of 18 U.S.C. 1001, and may jeoperdize the validity of the application or any patent issuing therefrom.

- 10. Further, declarents sayeth naught.
- 11. WITNESS my signature below in the indicated date.

<u>ve 5 2003</u> Date

J. Robert

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Exhibit

ORMAT PROCESS TECHNOLOGIES, INC. 110 LAFAYETTE CIRCLE, SUITE 200 LAFAYETTE, CA 94549 TEL: 925-283-2016 PAX: 925-283-5015

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COMPINITION NOTE. The information contained in this instaile manning is privileged and confidential, intended only for the individual or ensity named. If the reades of this pursue is not the invested resistant, planted be marified that any discontinuous, distribution or supply of this fax is estimately penalisted. If you have examined this fax in acres, we would appropriate your addition the incident at the thichous number provided and seturning the original to us at the above address by mail. Thank you.

BOB FRIDAY

TQ:

Yong Beck, Yoram Bronicki

FROM:

Phil Røttger

Here is another copy of what I sant on Feb. 5, 2000. I wanted to be clear that this information is confidential and proprietary.

My comments on your draft of the palletizing patent;

- I think the invention should be limited to asphaltenes, as I do not think it will work on maidual fuel oil.
- I received your voice mail message, but it would seem to be easier to start the descriptions with apphaltenes and leave out the entire refinery that is at the front and. You could reference several prior cases for the background on where asphaltane come from.
- My main point is that I think the first claim is a means for making the peliats, without a requirement that they be transported as a slurry. We should be allowed to make them, separate them from the water, and transport them as dry or well (but not in a slurry) pellets, and still be covered. (Look at what Gave but it including the auger conveyor.) From this base, additional claims can be written to include not doing the separation from the water and transporting them in the aluny.
- Your drawing does not agree with the ounseld layout. em not sure if yours is more generic or more restrictive.
- Some adultional claims for consideration:
 - s. The addition of surfactant or other chemicals to the water to aid in separating the asphaltenes from the water (Either those floating on the top, or those that are difficult to settle out)
 - b. Having the contact between the asphaltenes and the water jet occur below the surface of a water bath rather than above. (This has been tried and did not work well, but I think we should still cover it.
 - Collecting and gas released from the asphaltenes in the process and directing that gas to a ratinery process unit, heater, or stand-alone. inding ator to destroy the organic vapors.

Also, I do not recall if Bob Friday is on this patent or not. If he is can you send him your next draft.